# Research article

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# c-erbB2 and topoisomerase II $\alpha$ protein expression independently predict poor survival in primary human breast cancer: a retrospective study

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#### **Abstract**

Introduction c-erbB2 (also known as HER-2/neu) and topoisomerase II $\alpha$  are frequently overexpressed in breast cancer. The aim of the study was to analyze retrospectively whether the expression of c-erbB2 and topoisomerase II $\alpha$  protein influences the long-term outcome of patients with primary breast cancer.

**Methods** In this study c-erbB2 and topoisomerase II $\alpha$  protein were evaluated by immunohistochemistry in formalin-fixed paraffin-embedded tissue from 225 samples of primary breast cancer, obtained between 1986 and 1998. The prognostic value of these markers was analyzed.

**Results** Of 225 primary breast tumor samples, 78 (34.7%) showed overexpression of either c-erbB2 (9.8%) or topoisomerase  $II\alpha$  protein (24.9%), whereas in 21 tumors

(9.3%) both proteins were found to be overexpressed. Patients lacking both c-erbB2 and topoisomerase II $\alpha$  overexpression had the best long-term survival. Overexpression of either c-erbB2 or topoisomerase II $\alpha$  was associated with shortened survival, whereas patients overexpressing both c-erbB2 and topoisomerase II $\alpha$  showed the worst disease outcome (P < 0.0001). Treatment with anthracyclines was not capable of reversing the negative prognostic impact of topoisomerase II $\alpha$  or c-erbB2 overexpression.

**Conclusion** The results of this exploratory study suggest that protein expression of c-erbB2 and topoisomerase  $II\alpha$  in primary breast cancer tissues are independent prognostic factors and are not exclusively predictive factors for anthracycline response in patients with primary breast cancer.

#### Introduction

The protein expression status of c-erbB2 and, more recently, of topoisomerase IIα has been implicated in the prediction of clinical outcome and response to chemotherapy in breast cancer [1-5]. Gene amplification is the predominant but not exclusive mechanism causing abnormal expression in these tumors (reviewed in [6]). *c-erbB2* is localized on chromosome 17q12-21 and encodes for a transmembrane tyrosine kinase receptor protein. This highly glycosylated protein is a member of the epidermal growth factor receptor (EGFR; HER) family [7] and is expressed on most cells of epithelial origin. *In vitro*, overexpression of c-erbB2 in epithelial cells ultimately affects the req-

ulation of cell proliferation, of apoptotic pathways, of motility, and of adhesion (overview in [8]). Accordingly, numerous studies have found that both *c-erbB2* amplification and c-erbB2 protein overexpression predicted disease outcome in patients with localized breast cancer (overview in [9]).

The  $\alpha$  isoform of topoisomerase is a key enzyme in DNA replication and also a target for various chemotherapeutic agents such as anthracyclines or epipodophyllotoxins. The gene is located in close proximity to the *c-erbB2* gene on chromosome 17q21 and encodes for a 170-kilodalton protein. The enzyme catalyzes the unwinding of the DNA to a partly

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uncoiled form by inducing single-stranded breaks on both DNA strands. These breaks allow the passage of double-stranded DNA through the gap [10]. Anthracyclines, one of the most widely used class of cytotoxic agents for the treatment of breast cancer, inhibit topoisomerase  $II\alpha$  by trapping the DNA strand break intermediates, leading to persistent DNA cleavage.

An important role for the outcome of patients with breast cancer has been proposed both for the c-erbB2 and topoisomerase  $II\alpha$  protein [11]. Interestingly, a high percentage of primary breast tumors with c-erbB2 amplification also show a gene copy number alteration of other genes such as topoisomerase  $II\alpha$  located near to the c-erbB2 locus on chromosome 17 [12,13]. Although the appearance of *topoisomerase*  $II\alpha$  gene alterations (amplification or deletion) was exclusively seen in cerbB2-amplified breast tumors [3,12-14], data on the protein expression status of these two genes in breast tumor samples are controversial. Jarvinen and colleagues report a high correlation of c-erbB2 and topoisomerase IIα protein expression [15]. In contrast, in a recently published study it was observed that in 14 of 33 topoisomerase II \alpha-amplified tumors, topoisomerase  $II\alpha$  protein was present in less than 10% of tumor cells [16]. Another study showed that topoisomerase  $II\alpha$  overexpression is present in 30% of c-erbB2 non-amplified tumors [17]. From these studies it has to be concluded that gene amplification is only one of many mechanisms causing high intracellular levels of the topoisomerase  $II\alpha$  protein. This is in concordance with the fact that expression of topoisomerase  $II\alpha$  is regulated on multiple levels, including transcriptional, translational, and post-translational mechanisms [18]. These data suggest that the expression of topoisomerase  $II\alpha$  and cerbB2 protein might independently predict the clinical outcome in breast cancer. We therefore analyzed the prognostic impact of the protein expression status of both c-erbB2 and topoisomerase  $II\alpha$  in a large cohort of patients with primary breast cancer.

# Materials and methods Patients and pathological data

Tumor tissue was analyzed for protein expression of c-erbB2 and topoisomerase ll $\alpha$  from 225 patients with primary invasive breast cancer who underwent surgery from 1986 to 1998 at the Department of Gynaecology, Robert Bosch Hospital, Stuttgart, Germany. The local ethics committee was informed and gave consent. The patient database was anonymized to guarantee privacy. The tissues were formalin-fixed and paraffin-embedded in accordance with standard methods. Histological classification was performed by following the recommendations of the World Health Organization [19]. Three histological types were discriminated: invasive ductal, invasive lobular, and all other specified tumor types such as tubular carcinoma. The pathological reports included tumor size, palpable nodes, metastasis, grading, estrogen receptor status, and progesterone receptor status.

#### **Immunohistochemical methods**

For immunocytochemistry, 3 µm sections were deparaffinized in xylene for 30 min and rinsed in 100%, 96% and 70% ethanol. Sections were then subjected to antigen retrieval by immersion in citrate buffer (pH 6.0) preheated to 99°C for 40 min. Endogenous peroxidase was blocked by incubation in 3% H<sub>2</sub>O<sub>2</sub> in methanol for 30 min, followed by rinsing in Trisbuffered saline containing Tween 20. Immunohistochemical staining was performed with the EnVision™+ System Kit (DakoCytomation, Glostrup, Denmark). Afterwards, the sections were incubated overnight in a humidity chamber with the monoclonal primary antibody against topoisomerase  $II\alpha$ (DakoCytomation; dilution 1:100) and c-erbB2 (clone CB11; Novocastra, Newcastle, UK; dilution 1:10) followed by incubation for 30 min with a dextran polymer conjugated with horseradish peroxidase enzyme and with goat anti-rabbit antibody. The antigen-antibody immunoreaction was revealed with 3,3'diaminobenzidinetetrahydrochloride as the chromogen, and the slides were counterstained with hematoxylin.

Immunohistochemical analysis of c-erbB2 (HER-2/neu) protein was also performed with HercepTest™ (DakoCytomation) on an automated immunostaining system (Dako Autostainer), with the use of the manufacture's detection procedures. This procedure was part of the primary diagnostic process. For each case c-erbB2 was assessed twice: once by immunostaining with CB11 and once by herceptin staining. Estrogen and progesterone receptor statuses were assessed during the first years (up to 1990) by charcoal dextran method [20], samples examined after this time were analyzed by immunohistochemistry.

#### Scoring interpretation

The scoring system proposed by HercepTest was used for the interpretation of the immunoreactivity of both CB11 and HercepTest, distinguishing between no staining (0), weakly (1+), moderate (2+), and strong membrane staining (3+). Cytoplasmic staining was ignored.

Only nuclear staining was considered for topoisomerase IIa. Immunostaining frequency of the tumor cells was scored subjectively on a scale of 1 to 4 (1, 0 to 5% positive tumor cells; 2, 6 to 25%; 3, 26 to 75%; 4, more than 75%), as proposed by Sandri and colleagues [21]. Finally, after cut-off analysis we stratified the results as negative for less than 25% tumor cells and positive for all cases in which more than 25% of tumor cells stained positive for topoisomerase IIa. For hormone receptor status we classified the tumor as positive if there was more than 15 fmol per mg of protein (charcoal dextran with score of method) or а more than (immunohistochemistry).

# Statistical methods

Descriptive statistical analysis was performed with commercially available software packages (SPSS, version 11.1

Table 1

#### **Characteristics of patients and tumors**

Characteristic	Value
Number of patients	225
Age, years (median (range))	56 (29-88)
Time to relapse, months (median (range)) <sup>a</sup>	25 (0-179)
Alive without disease	123
Relapse	23
Disease status unknown	3
Alive (censored)	136
Death	89
By tumor	68
By unknown causes	8
Not tumor-related (censored)	13

<sup>&</sup>lt;sup>a</sup>Only cases with recurrence are included in this calculation.

(SPSS GmbH, Munich, Germany) and R, version 2.0 <a href="https://www.r-project.org">https://www.r-project.org</a>). We used the Kaplan–Meier estimator for univariate statistical analysis and the Cox regression model for multivariate analysis. P < 0.05 was considered to be significant. A log-rank test was applied for assessing statistical differences between survival curves. The  $\chi^2$  test was used to investigate the relationship between topoisomerase  $II\alpha$  expression and histological grading and to analyze the association between topoisomerase  $II\alpha$  and c-erbB2 overexpression with hormone receptor status or stage.

# Results Patients

Tissue samples of 225 patients were analyzed for protein expression of c-erbB2 or topoisomerase IIα. The characteristics of these patients and tumors are summarized in Table 1. The distribution of stage, tumor size, nodal status, histological grading, and receptor status is in conformity with that observed in published randomized clinical trials (Table 2; reviewed in [22]). All patients had received appropriate local surgical treatment. Adjuvant medical therapy was given to 143 patients: 61 received hormone therapy; 82 were treated with adjuvant chemotherapy, either anthracycline-containing (46 patients) or anthracycline-free (36 patients; Table 3) regimens. After a mean follow-up period of 67 months, 136 patients were alive and 89 deaths had been recorded. The death of 68 patients (30%) was documented to have been caused by breast cancer (Table 1). Survival at 1 year was 95.73%, at 3 years 85.45%, at 5 years 74.26%, and at 10 years 63.69% for all patients. Again, this survival rate is comparable to that published for similar patient populations [22].

# Prognostic impact of c-erbB2 overexpression

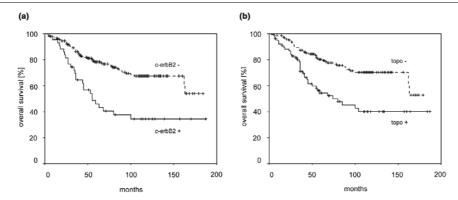
Tumors with an immunoreactive score exceeding 2 or 3 were considered to overexpress c-erbB2. Accordingly, 43 (19.1%) of the patients showed overexpression of this oncogene. Patients with c-erbB2 overexpression showed a similar distribution of age, stage, histological criteria, and receptor status to that of the c-erbB2 negative subgroup (data not shown).

However, the former patients were characterized by a significantly inferior survival in a univariate statistical analysis (log rank 17.94; P < 0.0001). The median overall survival time of patients with tumors overexpressing c-erbB2 was 55 months, with a 5-year survival rate of 46.0%. In contrast, median survival time was not reached in the c-erbB2 negative group. The 5-year survival in this group was 78.3% (Fig. 1a). Patients with c-erbB2-overexpressing tumors received no significant benefit from anthracycline-based adjuvant therapy, and even had the worst prognosis of all groups analyzed (log rank 10.17; P = 0.001; see below).

#### Impact of topoisomerase II $\alpha$ expression

Topoisomerase II $\alpha$  staining was strictly nuclear and highly variable between different tissue samples. To study the impact of different levels of overexpression of topoisomerase II $\alpha$  protein, we analyzed the survival curves of patients with 0 to 5%, 6 to 25%, 26 to 75% and more than 75% positively stained cells (data not shown). These analyses demonstrated that the survival of patients was significantly inferior in cases with high topoisomerase II $\alpha$  expression in more than 25% of the cells. Therefore, for further analysis overexpression was defined as more than 25% positively stained tumor cells. A subgroup of 77 patients (34.2%) had tumors overexpressing topoisomerase II $\alpha$ . As shown in Fig. 1b, these patients had a significantly inferior survival (median 80 months; 5-year survival rate

Figure 1



Overall survival of breast cancer patients with regard to c-erbB2 and topoisomerase  $II\alpha$  protein expression. (a) Patients with (solid line) or without (dashed line) c-erbB2 protein overexpression. The difference was significant in univariate statistical analysis (log rank 17.94; P < 0.0001). (b) Significant difference of survival time of patients with tumors positive for topoisomerase  $II\alpha$  protein in more than 25% of tumor cells (solid line) versus patients with tumors with less than 25% topoisomerase  $II\alpha$ -positive cells (dashed line) (log rank 15.59; P = 0.0001).

54.4%) in a univariate Kaplan–Meier analysis compared with those without topoisomerase II $\alpha$  overexpression (median not reached; 5-year survival rate 80.3%; log rank 15.59, P=0.0001). The proportion of tumors expressing topoisomerase II $\alpha$  increased with histological grading ( $\chi^2$  test, P<0.001). The fraction of patients with hormone-receptor-positive tumors was significantly higher in samples without topoisomerase II $\alpha$  overexpression ( $\chi^2$  test, P=0.004).

The prognostic impact of topoisomerase  $II\alpha$  expression was dependent on stage: whereas stage IV patients had an identically poor outcome regardless of topoisomerase  $II\alpha$  expression (not shown), stage II and III patients had a significantly lower survival rate when topoisomerase  $II\alpha$  was highly expressed (log rank 9.35, P=0.002 for WHO stage II; log rank 4.76, P=0.029 for WHO stage III; Fig. 2a). In stage I patients a survival difference of similar magnitude was not statistically significant, probably because of the small sample size (Fig. 2a).

Expression of topoisomerase II $\alpha$  added prognostic information to histological grading. The analysis was restricted to grade 2 and 3 tumors, because only a few deaths were observed in patients with grade 1 disease. The survival of patients with tumors expressing topoisomerase II $\alpha$  was inferior in both grade 2 tumors (log rank 5.08; P< 0.05) and grade 3 tumors (log rank 7.86; P= 0.005; Fig. 2b).

In addition, the prognostic impact of topoisomerase II $\alpha$  expression was clearly dependent on the steroid hormone receptor status of the tumors: no significant difference was observed in patients with tumors negative for estrogen or progesterone receptor (log rank 0.94; P=0.33; Fig. 3, right panel) whereas detection of topoisomerase II $\alpha$  in more than 25% of tumor cells identified a subgroup with poor prognosis in receptor-

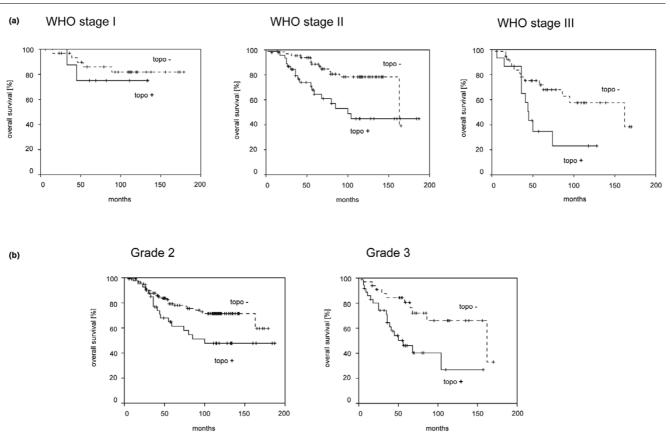
positive breast cancer (log rank 12.0; P = 0.0005; Fig. 3, left panel).

We further studied whether the prognostic impact of topoisomerase  $II\alpha$  expression was restricted to patients receiving either non-anthracycline-containing or anthracycline-containing regimens for adjuvant treatment. By analogy with the results obtained with c-erbB2-overexpressing tumors (Fig. 4b), treatment with anthracyclines was not capable of reversing the negative prognostic impact of topoisomerase  $II\alpha$  expression (log rank 4.74; P=0.02; Fig. 4a).

#### Coexpression of c-erbB2 and topoisomerase II $\alpha$

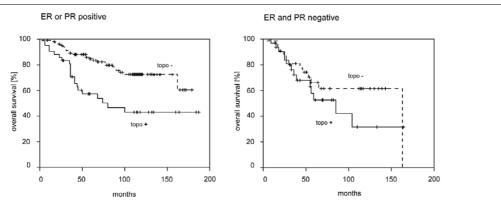
The subgroups overexpressing c-erbB2 and topoisomerase  $II\alpha$  were overlapping but not identical. One hundred and twenty-six tumors (56%) showed neither c-erbB2 nor topoisomerase IIa overexpression. Fifty-six (25%) patients had tumors overexpressing topoisomerase IIα only; 22 (9.8%) showed only c-erbB2 overexpression. Twenty-one (9.3%) tumors showed increased staining for both proteins. None of these groups differed significantly with regard to clinical stage (Table 4). However, a statistically significantly higher proportion of hormone-receptor-negative cancers was observed in the group overexpressing one or both proteins studied ( $\chi^2$  test, P = 0.006; Table 4). A survival analysis of these four groups demonstrated an independent negative prognostic impact of either overexpression. As shown in Fig. 5, patients with breast cancer lacking overexpression of both c-erbB2 and topoisomerase  $II\alpha$  had the best long-term prognosis (the median survival time was not reached; the 5-year survival rate was 84.4%). Overexpression of either c-erbB2 or topoisomerase IIa was associated with intermediate survival (for c-erbB2overexpressing tumors the median survival time was 68 months and the 5-year survival rate was 57.7%; for topoisomerase IIα-overexpressing cases the median survival time was 104 months and the 5-year survival rate was 63.7%).

Figure 2



Prognostic impact of topoisomerase  $II\alpha$  protein expression with regard to stage and grading. Patients with tumors positive for topoisomerase  $II\alpha$  in more than 25% of tumor cells (solid lines) were compared with those having tumors expressing topoisomerase  $II\alpha$  in less than 25% of cells (dashed lines) with regard to the stage (a) and the grading (b) of the tumor. The difference was significant in tumors of stage 2 and 3 (P < 0.05) and in grade 2 and 3 tumors (P < 0.05).

Figure 3



Prognostic impact of topoisomerase  $II\alpha$  protein expression with regard to hormone status. Patients with tumors positive for topoisomerase  $II\alpha$  in more than 25% of tumor cells (solid lines) were compared with those having tumors expressing topoisomerase  $II\alpha$  in less than 25% of cells (dashed lines) with regard to the hormone status. The difference was significant in tumors positive for estrogen receptor or progesterone receptor (log rank 12.0; P = 0.0005).

Table 2

Variable	n (%)
Stage $(n = 214)$	
I	40 (18.7)
II	113 (52.8)
III	53 (24.8)
IV	8 (3.7)
$\Gamma (n = 222)$	
T1	59 (26.6)
T2	106 (47.7)
Т3	27 (12.2)
T4	30 (13.5)
N (n = 222)	
NO	94 (42.3)
N1	112 (50.5)
N2-3	16 (7.2)
Grading $(n = 220)$	
G1/G2	151 (68.6)
G3	69 (31.4)
Histology ( $n = 225$ )	
Ductal	175 (77.8)
Lobular	22 (9.8)
All other subtypes	28 (12.4)
Menopausal ( $n = 163$ )	
Premenopausal	43 (26.4)
Postmenopausal	120 (73.6)
ER $(n = 212)$	
Positive	135 (63.7)
Negative	77 (36.3)
PR(n = 211)	
Positive	127 (60.2)
Negative	84 (39.8)
Metastasis ( $n = 218$ )	
Negative	210 (96.3)
Positive	8 (3.7)

ER, estrogen receptor; N, palpable nodes; PR, progesterone receptor; T, tumor size.

Those patients overexpressing both proteins had the worst outcome, with a median survival of 45 months and a 5-year survival rate of 33.0% (log rank 29.71; P < 0.0001; Fig. 5).

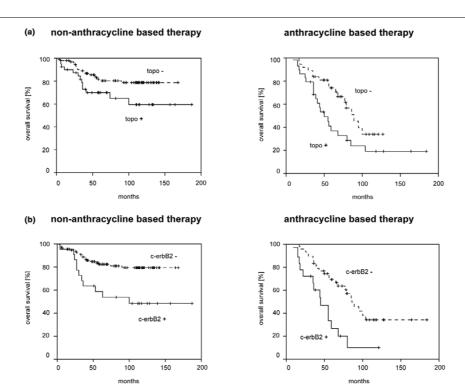
In a multivariate Cox regression model (Tables 5 and 6), tumor stage, estrogen receptor, topoisomerase II $\alpha$ , and c-erbB2 all independently predicted disease-related death. Subsequent

Table 3

#### Medical treatment for primary breast cancer in the study patients (N = 198)

Therapy type	Intervention	n (%)
Adjuvant hormone therapy	No hormone therapy	137 (69.2)
	Tamoxifen	61 (30.8)
Adjuvant chemotherapy	Anthracycline-containing regimens	46 (23.2)
	Anthracycline-free regimens	36 (18.2)
	No chemotherapy	116 (58.6)
	No adjuvant therapy	55 (27.8)

Figure 4



Survival of patients receiving either non-anthracycline-based therapy or anthracycline-based chemotherapy. (a) The difference between patients with less than 25% positive cells for topoisomerase  $II\alpha$  (dashed lines) and more than 25% positive cells (solid lines) was significant for both patient groups (P < 0.05). (b) Significant difference between patients with (solid line) or without (dashed line) c-erbB2 overexpression in the group of patients receiving non-anthracycline-based adjuvant therapy (left panel; P = 0.002) or an anthracycline-containing regimen (right panel; P = 0.001)

inclusion of grading was far beyond statistical significance (Table 5). Adding the interaction term topoisomerase II $\alpha$  by grading (topoisomerase II $\alpha$  × grading; Table 5) seems worth consideration. However, in a Wald statistics grading together with the last-mentioned interaction term failed to reach significance (P=0.11). Models containing in addition interactions of c-erbB2 by grading (c-erbB2 × grading; Table 5) and c-erbB2 by topoisomerase II $\alpha$  (c-erbB2 × topoisomerase II $\alpha$ ; Table 5) are not significantly superior. The last two interaction terms are therefore not included in the final model as presented in Table 6.

#### **Discussion**

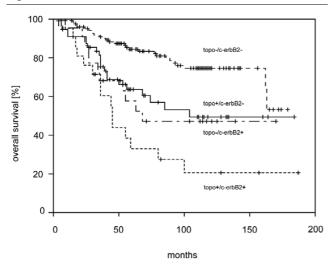
Overexpression of c-erbB2 and topoisomerase II $\alpha$  independently predicts poor survival in this retrospective series of patients. Topoisomerase II $\alpha$  and c-erbB2 were found to be overexpressed in overlapping but distinct subgroups of patients. Moreover, the prognostic impact of topoisomerase II $\alpha$  overexpression seems to be independent of other prognostic variables in a multivariate analysis and makes the prognosis significantly worse in both c-erbB2-positive and c-erbB2-negative patients. In addition, the prognostic impact of topoisomerase II $\alpha$  overexpression is dependent on the steroid receptor status. The results of this study suggest that

Table 4 Clinical characteristics of patients with and without overexpression of c-erbB2 and topoisomerase  $II\alpha$ 

Parameter	<u></u>	Va	Value	
Topoisomerase overexpression	Absent	Absent	Present	Present
c-erb B2 overexpression	Absent	Present	Absent	Present
Stage, n (%)				
I	29 (24.2)	3 (15.0)	6 (11.1)	2 (10.0)
II	59 (49.1)	7 (35.0)	36 (66.6)	11 (55.0)
III	29 (24.2)	9 (45.0)	9 (16.7)	6 (30.0)
IV	3 (2.5)	1 (5)	3 (5.6)	1 (5.0)
Totala	120	20	54	20
Receptor status, n (%)				
ER or PR positive	92 (79.3)	12 (57.1)	32 (58.2)	10 (52.6)
PR and PR negative	24 (20.7)	9 (42.9)	23 (41.8)	9 (47.4)
Total <sup>b</sup>	116	21	55	19

<sup>&</sup>lt;sup>a</sup>214 patients with complete data were analyzed for stage; <sup>b</sup>211 patients with complete data were analyzed for receptor status. ER, estrogen receptor; PR, progesterone receptor.

Figure 5



Survival of patients with and without overexpression of c-erbB2 and topoisomerase II $\alpha$ . The difference between patients without overexpression of c-erbB2 or topoisomerase (broken line), patients with tumors overexpressing one of the two proteins (c-erbB2, dot-dashed line; topoisomerase II $\alpha$ , solid line), and patients with tumors overexpressing both c-erbB2 and topoisomerase II $\alpha$  (dotted line) was significant (log rank 29.71; P < 0.0001).

anthracycline treatment is not capable of reversing the negative prognostic influence of topoisomerase  $II\alpha$  or c-erbB2 expression.

Recent reports have studied the interaction between topoisomerase  $II\alpha$  expression and pathological variables, chemotherapy response, and the proliferation rate of breast cancer.

However, only very limited series reported a long-term outcome of patients with primary breast cancer depending on topoisomerase IIa. Di Leo and colleagues [2] studied patients participating in a randomized clinical trial comparing CMF (cyclophosphamide, methotrexate, and 5-flourouracil) with an anthracycline-containing regimen. The subgroup of patients with topoisomerase  $II\alpha$  amplification did not do obviously more badly than the remaining patients. However, the outcome was strongly dependent on the adjuvant therapy applied. Another series measuring topoisomerase  $II\alpha$  protein by immunohistochemistry showed a significantly adverse influence of topoisomerase II a expression of a similar magnitude to that in our study [23]. Durbecq and colleagues [16] have shown that measurement of protein expression and gene amplification each identify different subsets of patients. These data suggest that detection of the protein might be better correlated with the biological properties of the tumor and therefore might predict the clinical outcome more precisely than genetic analysis. The data in our study support the view that protein expression of topoisomerase  $II\alpha$  is a relevant factor predicting long-term prognosis in patients with newly diagnosed breast cancer independent of c-erbB2.

Treatment of cell lines with anthracyclines was less effective in cells with a low expression of topoisomerase II $\alpha$  [24,25]. A retrospective subgroup analysis of a randomized clinical trial suggests that the prognostic impact of *topoisomerase II* $\alpha$  gene amplification is restricted to the patients not receiving anthracycline-based chemotherapy [2]. Furthermore, other studies that related tumor response to anthracyclines also showed some correlation between gene amplification status of *topoisomerase II* $\alpha$  and clinical response [3-5]. This is in contrast

Table 5

### Choice of the Cox regression model (N = 196)

Stepwise inclusion of variable	$2 \times \text{increase}$ in partial log-likelihood ( $\chi^2$ distributed)	df	Р	
Stage	23.43	3	< 0.0001	
Estrogen receptor	7.92	1	0.005	
c-erbB2	7.34	1	0.01	
Topoisomerase IIα	9.85	1	0.002	
Grading	0.01	1	0.92	
Topoisomerase $II\alpha \times grading$	4.64	1	0.03	
c-erbB2 × grading	1.74	1	0.19	
c-erbB2 × topoisomerase IIα	0.17	1	0.68	

Starting from the null model, successive adding of the variables mentioned above improves the Cox proportional hazard model in the first four steps significantly. In this sense the first four variables can be considered as independent prognostic factors. df, degrees of freedom.

Table 6

Coefficients of the linear	predictor in the fina	I Cox regression mod	lei (N = 196)
Coefficients of the fillear	predictor in the ima	II COX ICHICSSIOII IIIO	1 <del>0</del> 1 (/ <b>V</b> — 130/

Variable	В	exp(B)	Р	95% CI for exp(B)
Stage				
<b>  </b> a	0.72	2.06	0.14	0.79-5.36
IIIa	1.69	5.4	0.001	1.97-14.74
Va	3.25	25.68	<0.001	6.72-98.17
Estrogen receptor (pos. versus neg.)	0.58	1.79	0.039	1.03-3.11
c-erbB2 (pos. versus neg.)	0.82	2.26	0.0036	1.31-3.93
Topoisomerase II $lpha$ (pos. versus neg.)	0.39	1.48	0.005	0.77-2.83
Grading (3 versus {1,2})	t0.62	0.54	0.15	0.23-1.25
Topoisomerase $II\alpha \times grading^b$	1.21	3.35	0.035	1.09-10.36

<sup>a</sup>The hazard ratio exp(*B*) of the stage given is the relative risk of this stage with respect to stage I. <sup>b</sup>The effect of the interaction term on survival can be described as follows: if grading equals G1 or G2, the hazard ratio of topoisomerase IIα positive versus negative is 1.48 (95% confidence interval (CI) 0.77 to 2.83); if grading equals G3, this hazard ratio is 4.96 (95% CI 2.00 to 12.29).

with a recently published retrospective study in which amplification of c-erbB2 and topoisomerase  $II\alpha$  was not predictive of the response to anthracycline [26]. Our analyses show that the negative prognostic impact of topoisomerase  $II\alpha$  protein overexpression is observed both in patients who received an anthracycline-containing regimen for adjuvant chemotherapy and in those who did not. Moreover, the difference in survival between topoisomerase IIα-positive and topoisomerase IIαnegative patients exceeds the proportion of patients expected to be cured by chemotherapy. Protein overexpression has been related to a variety of other molecular markers predictive for high proliferation rate or high grading of malignancy such as Ki67 expression or aneuploidy [16,23,26,27]. These findings support the view that overexpression of topoisomerase  $II\alpha$  protein indicates a poor prognosis irrespective of the therapy applied. Topoisomerase  $II\alpha$  gene amplification is much more closely associated with c-erbB2 amplification than the protein expression status (overview in [6]). The predictive

value of the gene amplification for clinical and long-term outcome might therefore be related to amplification of either or both of the c-erbB2 or topoisomerase  $II\alpha$  genes. As coamplification is the predominant mechanism of genetic alteration of these two genes in breast cancer, clinical observations separate the role of each gene in the clinical response to adjuvant treatment with anthracyclines.

The biological role of topoisomerase II $\alpha$  overexpression is unknown. Cells lacking topoisomerases II are not capable of finishing a normal cell cycle and should therefore not be viable [28,29]. In addition, it has been shown that experimental overexpression of topoisomerase II $\alpha$  in different human cell lines causes apoptosis [30]. From these observations one must conclude that cells staining with a low intensity are not cells with a complete lack of topoisomerase II $\alpha$  function. This is in conformity with the observation that normal cells are also weakly positive for topoisomerase II $\alpha$ . High expression might

be related to a high proliferation rate. This view is supported by our finding that topoisomerase  $II\alpha$  expression is related to histological grading and by observations showing some correlation of topoisomerase  $II\alpha$  with Ki67 expression and other proliferation markers [23,27]. However, viable cells with constitutive overexpression might also indirectly indicate defects in apoptotic pathways. Both hypotheses might provide a plausible explanation for the observation that topoisomerase  $II\alpha$  overexpression is related to a more aggressive tumor phenotype.

#### Conclusion

Our data suggest that protein expression of topoisomerase II $\alpha$  is a prognostic factor that is independent of c-erbB2, stage, and histological grading. In addition, the results of this exploratory study indicate that anthracycline treatment is not capable of reversing the negative prognostic influence of the expression of topoisomerase II $\alpha$  or c-erbB2. Nevertheless, because of the small number of patients remaining in these subgroups, no firm conclusion can be made about the predictive value of topoisomerase II $\alpha$  or c-erbB2 regarding sensitivity to anthracyclines. Our results support the view that studying the deregulation of either the *topoisomerase II* $\alpha$  gene or topoisomerase II $\alpha$  protein might yield different results depending on the method applied. This should be considered when planning prospective studies on the predictive and prognostic value of topoisomerase II $\alpha$ .

# **Competing interests**

The author(s) declare that they have no competing interests.

#### **Authors' contributions**

PF, WS, WEA, JD, and HvdK were responsible for generating the hypothesis and correcting the manuscript. PF, AG, and WS were responsible for surgery and for collecting the patient material. PF, CMC, and HvdK were responsible for immunostaining and for examination and interpretation of the results. PF, JD, and WEA performed the statistical analysis of the data. PF, HvdK, and WEA were responsible for writing the manuscript. All authors read and approved the final manuscript.

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