

### RESEARCH ARTICLE

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# Estrogen receptor positive breast cancers in *BRCA1* mutation carriers: clinical risk factors and pathologic features

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### **Abstract**

**Introduction:** Most breast cancers that occur in women with germline *BRCA1* mutations are estrogen receptornegative (ER-) and also typically lack expression of progesterone receptor (PR) and HER2 overexpression. We undertook a study to assess the clinical factors that predict for an estrogen receptor positive (ER+) breast cancer in *BRCA1* mutation carriers and to characterize the pathologic features of these tumors.

**Methods:** Clinical characteristics of *BRCA1* carriers with 58 ER+ and 114 ER- first invasive breast cancers were compared. Pathologic features of *BRCA1* ER+ cancers were compared to those of *BRCA1* ER- cancers and to agematched ER+ sporadic cancers.

**Results:** BRCA1 carriers aged  $\geq 50$  at diagnosis of first invasive breast cancer were more likely to have an ER+ cancer compared to those aged < 50 (57% vs 29%, P = 0.005). ER+ BRCA1 cancers were less likely than ER- BRCA1 cancers to have "BRCA-associated" features such as high mitotic activity, geographic necrosis/fibrotic focus, and pushing margins (RR 0.06, 0.22, 0.24; P < 0.001, 0.02, 0.03 respectively). When compared to sporadic ER+ cancers, ER + BRCA1 cancers were more often of invasive ductal type (RR 2.4, P = 0.03), with a high mitotic rate (RR 5.0, P = 0.006) and absent or mild lymphocytic infiltrate (RR 10.2, P = 0.04).

**Conclusions:** *BRCA1* carriers who are older at first breast cancer diagnosis are more likely to have ER+ tumors than younger *BRCA1* carriers. These ER+ cancers appear pathologically "intermediate" between ER- *BRCA1* cancers and ER+ sporadic breast cancers raising the possibility that either some ER+ *BRCA1* cancers are incidental or that there is a unique mechanism by which these cancers develop.

### Introduction

Most breast cancers that occur in women with germline *BRCA1* mutations are estrogen receptor-negative (ER-) and typically lack expression of progesterone receptor (PR) and human epidermal growth factor receptor (HER) 2 overexpression (so-called 'triple-negative' breast cancers) [1-8]. These *BRCA1*-associated ER-tumors are most often high-grade invasive ductal carcinomas with a high mitotic rate that frequently exhibit other characteristic pathologic features including a prominent lymphocytic infiltrate, pushing or circumscribed margins, and

geographic areas of necrosis or a central fibrotic focus [3,9,10]. In addition, these tumors often express 'basal' biomarkers and most cluster within the 'basal-like' group in gene expression profiling studies [7,11-13].

Although the combination of the triple-negative phenotype and the pathologic features described above have come to be considered characteristic of *BRCA1*-associated breast cancers, approximately 10 to 36% of breast cancers that occur in *BRCA1* mutation carriers (*BRCA1* carriers) are, in fact, ER-positive (ER+) [4,6,8,14,15]. Relatively little is known about these *BRCA1*-associated ER+ breast cancers or about the factors that predict for the ER status of breast cancers that develop in these women.

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As BRCA1 cancers are so often ER-, it has been suggested that ER negativity is intrinsic to BRCA1 cancers and reflects the cell of origin of these tumors [16]. Supporting this theory, Hosey and colleagues [17] have shown that transfection of the wild-type BRCA1 gene into HCC1937 cells, an ER- breast cancer cell line homozygous for the BRCA1 mutation, restores ER production. Likewise, knockdown of BRCA1 expression in the ER+ cell lines MCF-7 and T47D eliminates expression of ER. These investigators further showed that BRCA1 protein regulates the synthesis of ER through binding to the estrogen receptor-alpha gene promoter, ESR1. Liu and colleagues [18] proposed that BRCA1 may actually be required in the differentiation of ERstem/progenitor cells to ER+ luminal cells. In prophylactic mastectomy specimens from women with germline BRCA1 mutations, breast tissue was found that appeared to be histologically normal, yet displayed loss of heterozygosity (LOH) for BRCA1 and was positive for the expression of the cancer stem cell marker, ALDH1 and negative for the expression of ER. This finding suggested that loss of BRCA1 may result in the accumulation of ER- breast stem cells, which are genetically unstable and more likely to undergo carcinogenesis.

If, in fact, ER negativity is intrinsic to *BRCA1* cancers, this would raise the possibility that at least some *BRCA1* ER+ cancers may be 'incidental', and not caused by a complete loss of *BRCA1* function in the cancer cells.

It has been reported that ER+ breast cancers may be more common as *BRCA1* carriers age [16]. If so, the frequency with which these ER+ breast cancers are encountered in clinical practice may increase as strategies for both prevention and treatment of the more common ER- breast cancers improve and mutation carriers live longer.

Given the paucity of information regarding ER+ breast cancers in *BRCA1* mutation carriers, we undertook a study to: determine the clinical factors that predict for ER+ breast cancers in this population; compare the pathologic features of ER+ *BRCA1*-associated breast cancers with those of ER- *BRCA1*-associated breast cancers; and perform a case-control analysis to compare the pathologic features of ER+ *BRCA1*-associated breast cancers with those of ER+ sporadic breast cancers.

### Materials and methods

### Patient selection

Women with germline *BRCA1* mutations who developed a first invasive breast cancer between 1979 and 2008 were retrospectively identified through the Cancer Risk and Prevention Programs at Beth Israel Deaconess Medical Center (BIDMC), Brigham and Women's Hospital/Dana-Farber Cancer Institute, and North Shore Medical Center. We identified 172

women with *BRCA1*-associated first invasive breast cancers (114 ER- and 58 ER+).

Among these 172 women, we were able to obtain pathologic material (H & E-stained sections and/or paraffin blocks) for 117 first invasive breast cancers (68 ERand 49 ER+). Pathologic material was not available for cases diagnosed before 1986. For the case-control analysis, sporadic ER+ cancers (controls) were identified through the BIDMC tumor registry and consisted of women with a first invasive ER+ breast cancer and no family history of breast or ovarian cancer, matched on age and year of diagnosis (within three years) to the BRCA1 carriers with ER+ breast cancers (cases). Two BRCA1-associated cancers with 'low-positive' ER status were excluded from the case-control analysis because appropriate controls could not be identified. Three controls were identified for each mutation carrier except for three cases for which only two controls could be identified, resulting in a data set of 47 cases and 138 matched controls. Genetic testing records at BIDMC were reviewed to exclude potential control patients who had a positive test for a BRCA1 or BRCA2 mutation.

### Data collection

Clinical characteristics of *BRCA1* carriers were abstracted from medical records, and included age at diagnosis, menopausal status at diagnosis, hormone use prior to diagnosis, Ashkenazi Jewish heritage, age at first live birth, smoking history, and alcohol use prior to diagnosis.

### Pathology review

Histologic sections of *BRCA1*-associated ER- and *BRCA1*-associated ER+ breast cancers were reviewed by the study pathologists blinded to the ER status of the tumor. Each cancer was scored for the following pathologic features: histologic type; Nottingham combined histologic grade, with each of the three components of grade (i.e., tubule formation, nuclear grade, and mitotic rate) recorded separately; presence of geographic necrosis or fibrotic focus; extent of lymphocytic infiltrate; and tumor margin characteristics (invasive or pushing). Histologic sections of the sporadic ER+ cancers were reviewed by the study pathologists and also assessed for each pathologic feature described above.

Information regarding ER status, assessed as part of the routine clinical evaluation, was abstracted from pathology reports. A biochemical method was used to determine the ER status between 1979 and 1992, and immunostaining was employed between 1993 and 2009. When information regarding the ER status for *BRCA1* tumors was missing from the pathology report or when ER was reported as 'weak' or 'faint', paraffin blocks were re-cut and sections were immunostained for ER (rabbit

monoclonal antibody SP1, Neomarkers, Fremont, CA, USA). Information regarding PR and HER2 status was also recorded for *BRCA1* and control cancers.

### Statistical analysis

Analysis of the relation between ER status and clinical or pathologic factors used logistic regression, and analysis of the case-control study of ER+ *BRCA1* and sporadic cancers used the conditional (matched) logistic regression. Both single covariate and step-up logistic regressions for multiple comparisons were performed, with two-sided *P* values from the likelihood ratio test. All continuous covariates were categorized as in the tables and used as binary variables in the models, with an additional binary variable for 'unknown' if the value of a covariate was unknown for at least two patients in each group (e.g. in ER+ and ER- patients). In reporting the final step-up model for each dataset, the *P* value for a covariate comes from the step at which it was added and the estimated risk ratio (RR) comes from the final step.

This study was approved by the institutional review boards of Dana Farber/Harvard Cancer Center and North Shore Medical Center.

### Results

### Relation between clinical factors and ER status of first breast cancers in *BRCA1* mutation carriers

Table 1 summarizes the clinical characteristics of the 172 *BRCA1* carriers and the results of single covariate logistic regression comparing these features between women with ER- and ER+ first breast cancers. Of these 172 patients, 34% (58) developed an ER+ first breast cancer. Two of these 58 cancers were 'weak' ER+ (with 1 to 10% of tumor cells showing ER expression). Of the 172 patients, 16.3% had ER measured using biochemical methods and 83.7% had ER measured using immunohistochemistry.

Age at breast cancer diagnosis was a significant predictor of ER status. The median age at breast cancer diagnosis was 40 years for women with an ER- cancer and 46 years for those with an ER+ cancer. Patients aged 50 years or older at diagnosis were significantly more likely to have an ER+ cancer compared with those younger than 50 years of age (16 of 28 = 57% vs 42 of 144 = 29%, P = 0.005). Conversely, patients younger than 40 years of age were significantly less likely to have an ER+ breast cancer compared with those aged 40 years or older (16 of 70 = 23% vs. 42 of 104 = 41%, P = 0.01).

In addition, pre-menopausal patients were significantly more likely to develop an ER- cancer compared with post-menopausal patients or those with unknown menopausal status (P = 0.02). Although only 29% of the

breast cancers that developed in pre-menopausal *BRCA1* carriers were ER+, 53% of the cancers in post-menopausal women were ER+.

In multiple covariate analysis, no covariate added significantly to the model after age 50 years or older was included. Although none of the women younger than 40 years of age at diagnosis were post-menopausal and only 14% of the women aged 40 to 49 years were post-menopausal, 21% of the women aged 50 years or older were pre-menopausal. None of the other clinical factors, including prior hormone use, Ashkenazi Jewish heritage, age at first live birth, smoking or alcohol use, predicted for ER status of the first breast cancer of these women.

### Comparison of pathologic features of ER- BRCA1associated and ER+ BRCA1-associated breast cancers

Pathologic material was available for 49 of the 58 ER+ *BRCA1* cancers and for 68 of the 114 ER- *BRCA1* breast cancers. The distribution of cancers by age group was similar in the clinical and pathology data sets (Tables 1 and 2).

Table 2 compares the pathologic characteristics of the ER+ and ER-BRCA1 cancers. In single covariate models, ER+ BRCA1 cancers were found less often than ER-BRCA1 cancers to be of pure invasive ductal type (P < 0.001), to be histologic grade 3 (P < 0.001), to possess a high mitotic rate (>10 mitoses per 10 high powered field (HPF); P < 0.001), to have a moderate/marked lymphocytic infiltrate (P = 0.003), to have either geographic necrosis or a fibrotic focus (P < 0.001) or to have pushing (or unknown) margins (P < 0.001). Most of these differences remained significant even when limiting the comparison to histologic grade 3 BRCA1 ER+ and ERcancers. In particular, grade 3 ER+ BRCA1 cancers less often had a high mitotic rate (P < 0.001), geographic necrosis/fibrotic focus (P = 0.002), or pushing/unknown margins (P < 0.001).

In a step-up logistic model, pathologic variables significantly predictive of a lower likelihood of having an ER+ breast cancer were high mitotic activity (RR 0.06, P < 0.001), geographic necrosis or fibrotic focus (RR 0.22, P = 0.02), and pushing/unknown margins (RR 0.24, P = 0.03). Of note, only 4% of ER+ BRCA1 breast cancers possessed all three of these features and 67% lacked all three features (compared with 50% and 3%, respectively, of ER- BRCA1 breast cancers). PR and HER2 status were collected for the BRCA1 breast cancers and are shown in Table 3.

# Case-control analysis comparing pathologic features of *BRCA1*-associated ER+ breast cancers with ER+ sporadic breast cancers

The pathologic features of the 47 ER+ *BRCA1* cancers and 138 ER+ sporadic cancers are shown in Table 4.

Table 1 Clinical characteristics of women with ER-negative and ER-positive BRCA1-associated breast cancers

| Covariates  | ER-        |     | ER+        |     | Logistic likelihood ratio test P |
|---|------------|-----|------------|-----|----------------------------------|
|   | n          | %   | N          | %   |                                  |
| All   | 114        | 66  | 58         | 34  |                                  |
| Menopausal status                                 |            |     |            |     |                                  |
| Pre *   | 98         | 71  | 41         | 29  | 0.02                             |
| Post  | 15         | 47  | 17         | 53  |                                  |
| Unknown   | 1          | 100 | 0          | 0   |                                  |
| Age of breast cancer                              |            |     |            |     |                                  |
| Median (range)                                    | 40 (27-73) |     | 46 (29-72) |     |                                  |
| <40   | 54         | 77  | 16         | 23  | 0.01                             |
| 40-49   | 48         | 65  | 26         | 35  | 0.73                             |
| ≥ 50  | 12         | 43  | 16         | 57  | 0.005                            |
| Prior HRT   |            |     |            |     |                                  |
| Yes *   | 11         | 58  | 8          | 42  | 0.42                             |
| No  | 101        | 67  | 50         | 33  |                                  |
| Unknown   | 2          | 100 | 0          | 0   |                                  |
| Ashkenazi Jewish                                  |            |     |            |     |                                  |
| Yes *   | 55         | 71  | 23         | 29  | 0.32                             |
| No  | 58         | 64  | 33         | 36  |                                  |
| Unknown *   | 1          | 33  | 2          | 67  |                                  |
| First live birth before breast cancer?            |            |     |            |     |                                  |
| Yes *   | 86         | 65  | 46         | 35  | 0.57                             |
| No  | 28         | 72  | 11         | 28  |                                  |
| Unknown   | 0          | 0   | 1          | 100 |                                  |
| Age of first live birth (if before breast cancer) |            |     |            |     |                                  |
| Median (range)                                    | 27 (15-45) |     | 27 (19-42) |     |                                  |
| ≥ 27 *  | 47         | 67  | 23         | 33  | 0.44                             |
| <27   | 39         | 63  | 23         | 37  |                                  |
| No or unknown live births before breast cancer *  | 28         | 70  | 12         | 30  |                                  |
| Tobacco (pack years)                              |            |     |            |     |                                  |
| 0 *   | 64         | 66  | 33         | 34  | 0.33                             |
| >0  | 31         | 74  | 11         | 26  |                                  |
| Unknown *   | 19         | 58  | 14         | 42  |                                  |
| Alcohol   |            |     |            |     |                                  |
| 0-1 drinks/week *                                 | 65         | 68  | 30         | 32  | 0.75                             |
| >1 drinks/week                                    | 45         | 64  | 25         | 36  |                                  |
| Unknown *   | 4          | 57  | 3          | 43  |                                  |

ER, estrogen receptor; HRT, hormone replacement therapy; -, negative; +, positive. Percentages calculated by row

Compared with ER+ sporadic cancers, ER+ BRCA1 cancers were more often of pure invasive ductal type (P=0.03), more often had a high mitotic rate (>10 mitoses per 10 HPF, P=0.03) and demonstrated a more limited spectrum of histologic types. In the stepup conditional logistic regression models, three variables were significantly more associated with ER+ BRCA-1 associated cancers than with ER+ sporadic

controls: pure invasive ductal histology (RR 2.4, P = 0.03), 10 or more mitoses per 10 HPF (RR 5.0, P = 0.006), and absent or mild lymphocytic infiltrate (RR 10.2, P = 0.04).

Comparison of PR and HER2 status between ER+ *BRCA1* breast cancers and sporadic controls was not possible due to the unavailability of data for many of the controls.

<sup>(\*)</sup> indicates this level of the covariate was included in the single covariate model.

Table 2 Comparison of pathologic characteristics of ER-negative and ER-positive BRCA1-associated breast cancers

| Covariates                         | ER-          |    | ER+        |    | Logistic likelihood ratio test P |
|------------------------------------|--------------|----|------------|----|----------------------------------|
|                                    | n            | %  | n          | %  | _                                |
| All                                | 68           |    | 49         |    |                                  |
| Histologic type                    |              |    |            |    |                                  |
| Invasive ductal only *             | 67           | 99 | 37         | 76 | <0.001                           |
| Other                              | 1            | 1  | 12         | 24 |                                  |
| Mixed ductal/lobular               | 1            |    | 10         |    |                                  |
| Tubular                            | 0            |    | 1          |    |                                  |
| Lobular                            | 0            |    | 1          |    |                                  |
| Histologic grade                   |              |    |            |    |                                  |
| 3 *                                | 66           | 97 | 20         | 41 | <0.001                           |
| 1-2                                | 2            | 3  | 28         | 57 |                                  |
| Unknown (combined with 1-2)        | 0            | 0  | 1          | 2  |                                  |
| Mitotic activity                   |              |    |            |    |                                  |
| >10 mitoses per 10 HPF *           | 64           | 94 | 11         | 22 | <0.001                           |
| 0-10 mitoses per 10 HPF            | 4            | 6  | 37         | 76 |                                  |
| Unknown                            | 0            | 0  | 1          | 2  |                                  |
| Margins                            |              |    |            |    |                                  |
| Invasive *                         | 22           | 32 | 44         | 90 | <0.001                           |
| Pushing                            | 41           | 60 | 4          | 8  |                                  |
| Unknown                            | 5            | 7  | 1          | 2  |                                  |
| ymphocytic infiltrate              |              |    |            |    |                                  |
| Moderate/marked *                  | 20           | 29 | 3          | 6  | 0.003                            |
| None/mild                          | 46           | 68 | 45         | 92 |                                  |
| Unknown *                          | 2            | 3  | 1          | 2  |                                  |
| Geographic necrosis/fibrotic focus |              |    |            |    |                                  |
| Yes *                              | 50           | 74 | 8          | 16 | <0.001                           |
| No                                 | 15           | 22 | 39         | 80 |                                  |
| Unknown *                          | 3            | 4  | 2          | 4  |                                  |
| Age of breast cancer               |              |    |            |    |                                  |
| Median (range)                     | 39.5 (28-73) |    | 45 (29-72) |    |                                  |
| <40                                | 34           | 50 | 15         | 31 | 0.03                             |
| 40-49                              | 27           | 40 | 20         | 41 | 0.91                             |
| ≥ 50                               | 7            | 10 | 14         | 29 | 0.01                             |

ER, estrogen receptor; HPF, high-powered field; -, negative; +, positive.

Percentages calculated by column

(\*) indicates this level of the covariate was included in the single covariate model

Twenty-nine of the 138 patients with ER+ sporadic breast cancers (21%) had undergone genetic testing at BIDMC and none was found to have a *BRCA1* or *BRCA2* mutation. Some of the other women with ER+ sporadic breast cancers may have undergone genetic testing at other institutions, but that information was not available.

### Discussion

The results of this study suggest that *BRCA1* carriers who are older at the time of diagnosis of their first

invasive breast cancer are more likely to have an ER+ breast cancer than are *BRCA1* carriers who are younger at diagnosis. Menopausal status was also a predictor of ER positivity, with ER+ breast cancers being more common in post-menopausal carriers. However, this difference was not significant in multiple covariate analysis, perhaps because of the confounding between menopausal status and age. In particular, only 14% of *BRCA1* carriers younger than age 50 years in our study were postmenopausal. As mutation carriers increasingly become surgically menopausal at younger ages it will be

Table 3 PR and HER2 status of *BRCA1*-associated breast cancers

|                    | ER- BRCA1 cancers | ER+ BRCA1 cancers |
|--------------------|-------------------|-------------------|
|                    | n = 68            | n = 49            |
| PR positive        | 0                 | 40                |
| HER2 +*            | 0                 | 3 (1 IHC, 2 FISH) |
| HER2 negative**    | 0                 | 34                |
| HER2 equivocal^    | 0                 | 2                 |
| HER2 unknown       | 0                 | 1                 |
| PR weak positive^^ | 2                 | 6                 |
| HER2 +*            | 0                 | 1 (IHC)           |
| HER2 -**           | 2                 | 4                 |
| HER2 unknown       | 0                 | 1                 |
| PR negative        | 59                | 1                 |
| HER2 +*            | 3 (IHC)           | 0                 |
| HER2 -**           | 48                | 1                 |
| HER2 equivocal^    | 1 (FISH)          | 0                 |
| HER2 unknown       | 7                 |                   |
| PR unknown         | 7                 | 2                 |
| HER2 -**           | 6                 | 2                 |
| HER2 unknown       | 1                 | 0                 |

ER, estrogen receptor; FISH, fluorescence *in situ* hybridization; HER, human epidermal growth factor receptor; IHC, immunohistochemistry; PR, progesterone receptor; -, negative; +, positive.

^HER2 equivocal: HER2:CEP17 ratio by FISH 1.8 to 2.2

important to determine the relative contributions of age and menopausal status for predicting ER status of the breast cancers that develop in this population. Our data are consistent with those of Foulkes and colleagues [16] who also found an increase in ER+ breast cancers with increasing age among BRCA1 mutation carriers. These investigators noted that this increase in ER positivity paralleled that seen in breast cancers that develop in non-mutation carriers. They did not study the effect of menopausal status on ER status of these cancers. The observation that BRCA1 mutation carriers who are older or post-menopausal at the time of diagnosis of breast cancer are more likely to have an ER+ breast cancer may help to define a population of BRCA1 mutation carriers for whom estrogen-modifying agents will be particularly effective.

Of the *BRCA1* cancers in this series, 34% were ER+. This is consistent with the 31% frequency of ER+ *BRCA1* breast cancers recently reported in the retrospective series by Atchley and colleagues [8].

Our comparison of the pathologic features of ER+ and ER- *BRCA1* cancers revealed that the ER+ cancers less often had features typically associated with *BRCA1* 

cancers, such as high mitotic rate, pushing margins, marked lymphocytic infiltrate, and geographic necrosis/ fibrotic focus. These differences were not due to differences in histologic grade, because most remained significant when only high-grade ER+ and ER- cancers were compared. Although previous studies have noted that ER- *BRCA1* cancers are more likely to be high-grade invasive ductal carcinomas compared with ER+ *BRCA1* cancers, this is the first report to our knowledge analyzing the relation of ER status to other pathologic features that have come to be considered to be *BRCA*-related.

The differences in pathologic features between ER+ and ER- *BRCA1* cancers raise the possibility that at least some *BRCA1* ER+ cancers may be 'incidental', and not caused by a complete loss of *BRCA1* function in the cancer cells. In order to address the issue of whether ER + *BRCA1* cancers are more akin to sporadic ER+ breast cancers than to ER- *BRCA1* cancers, we performed a case-control analysis in which the pathologic features of these tumors were compared with those of a control group of ER+ sporadic breast cancers. We found that *BRCA1*-associated ER+ cancers had a much more limited distribution of histologic types and were significantly more often pure invasive ductal carcinomas with a high mitotic rate than ER+ sporadic cancers.

There are several possible explanations for our observation that the histopathology of ER+ BRCA1 breast cancers differs significantly from both ER- BRCA1 cancers as well as ER+ sporadic breast cancers. First, it may be that although some ER+ BRCA1 breast cancers develop from complete loss of BRCA1 function, others still have intact BRCA1 function resulting in tumors that as a group have phenotypic features that are intermediate between ER- BRCA1 and ER+ sporadic breast cancers. The issue of whether ER+ BRCA1-associated breast cancers demonstrate LOH for the wild-type (wt) BRCA1 allele has been investigated. In this regard, Manié and colleagues recently found 4 of 19 ER+ BRCA1-associated breast cancers did not show loss of the wt BRCA1 allele [19]. King and colleagues [20] demonstrated that 11 of 22 BRCA1-associated invasive breast cancers did not show LOH for wt BRCA1; no mention of ER status was included in their study. The results of these studies are difficult to compare because of differences in patient populations and molecular methodology. Nonetheless, taken together the results of these two studies raise the possibility that not all BRCA1-associated breast cancers exhibit complete loss of BRCA1 function. However, the frequency of this phenomenon, particularly for ER+ BRCA1 cancers, remains to be more clearly defined.

It is also possible that no breast cancer that develops in a *BRCA1* mutation carrier is really 'incidental' or sporadic, even if LOH of wt *BRCA1* does not exist.

<sup>\*</sup> HER2 positive: HER2:CEP17 ratio by FISH >2.2 or IHC 3+ (no FISH performed)

\*\* HER2 negative: HER2:CEP17 ratio by FISH <1.8 or IHC <3+ (no FISH performed)

<sup>^^</sup> Weak PR positive: 1 to 10% cells show nuclear staining for PR

Table 4 Comparison of pathologic features of ER-positive *BRCA1*-associated breast cancers and ER-positive sporadic breast cancers

| Covariates                         | BRCA1 +    |     | Sporadic         |     |                                     |
|------------------------------------|------------|-----|------------------|-----|-------------------------------------|
|                                    | n          | %   | N                | %   | -<br>Logistic likelihood ratio test |
| All                                | 47         | 100 | 138              | 100 | <del>-</del>                        |
| Mean age in years (range)          | 46 (29-72) |     | 46 (29-72)       |     | _                                   |
| Histologic type                    |            |     |                  |     | _                                   |
| Invasive ductal only               | 35         | 74  | 78               | 57  | 0.03                                |
| All others                         | 12         | 26  | 60               | 43  | _                                   |
| Mixed ductal/lobular               | 10         | 21  | 25               | 18  | _                                   |
| Lobular                            | 1          | 2   | 12               | 9   | _                                   |
| Mixed ductal + special type        | 0          | 0   | 8*               | 6   | _                                   |
| Special type                       | 1+         | 2   | 15 <sup>++</sup> | 11  | _                                   |
| Histologic grade                   |            |     |                  |     | =                                   |
| 3                                  | 18         | 38  | 34               | 25  | 0.10                                |
| 2                                  | 15         | 32  | 69               | 50  | 0.39                                |
| 1                                  | 13         | 28  | 35               | 25  | 0.69                                |
| Unknown                            | 1          | 2   | 0                | 0   | _                                   |
| Mitoses/10 HPF                     |            |     |                  |     | _                                   |
| >10                                | 9          | 19  | 9                | 7   | 0.03                                |
| 6-10                               | 12         | 26  | 31               | 22  | 0.67                                |
| 0-5                                | 25         | 53  | 98               | 71  | 0.03                                |
| Unknown                            | 1          | 2   | 0                | 0   | _                                   |
| Margins                            |            |     |                  |     | _                                   |
| Invasive                           | 43         | 91  | 134              | 97  | 0.12                                |
| Pushing                            | 3          | 6   | 2                | 1   | 0.09                                |
| Unknown                            | 1          | 2   | 2                | 1   | _                                   |
| _ymphocytic infiltrate             |            |     |                  |     | _                                   |
| Moderate/marked                    | 2          | 4   | 17               | 12  | 0.08                                |
| None/mild                          | 44         | 94  | 121              | 88  | _                                   |
| Unknown                            | 1          | 2   | 0                | 0   | _                                   |
| Geographic necrosis/fibrotic focus |            |     |                  |     | _                                   |
| Yes                                | 7          | 15  | 9                | 7   | 0.09                                |
| No                                 | 38         | 81  | 129              | 93  | _                                   |
| Unknown                            | 2          | 4   | 0                | 0   | _                                   |

ER, estrogen receptor; HPF, high powered field.

Percentages calculated by column

Haploinsufficiency of *BRCA1*, which exists in the *BRCA1* heterozygous state, has been shown to have demonstrable effects on the breast tissue of *BRCA1* carriers. Normal breast tissue from *BRCA1* carriers has been shown to grow abnormally in three-dimensional mammosphere cultures (even though 75% of cells show retention of *BRCA1* heterozygosity) [21], and express increased aromatase [22] compared with reduction mammoplasty specimens from non-mutation carriers.

Likewise, MCF-7 cells with BRCA1 haploin suffiency demonstrate decreased efficiency in homologous recombination [23]. Haploin sufficiency of BRCA1 may predispose both to the development of breast cancer as well as to a more limited histopathologic profile. Finally, if loss of BRCA1 function does exist in the majority of ER + BRCA1 breast cancers, it is possible that ER+ and ER-BRCA1 cancers originate from different cells of origin (e.g. early progenitor cell vs stem cell) leading to

<sup>\*</sup> Mixed ductal plus: mucinous (n = 4), invasive micropapillary (n = 2), invasive papillary (n = 1), tubular (n = 1)

<sup>+</sup> tubular (n = 1)

<sup>++</sup> tubular (n = 6), mucinous (n = 5), invasive micropapillary (n = 2), invasive cribriform (n = 1), mixed invasive micropapillary/mucinous (n = 1)

different phenotypic expressions. The cell of origin for *BRCA1*-associated breast cancers is still being determined [24,25].

One of the strengths of this study is that the pathologic features of all cancers in this study, both *BRCA1* and control, were reviewed by two dedicated breast pathologists (SJS and LCC). It should be noted that more of the *BRCA1* ER- breast cancers identified were unavailable for pathologic review. Although 34% of the cases in the clinical analysis were ER+, 42% of the cancers reviewed pathologically were ER+. Given the uniformity of many of the pathologic features of the *BRCA1* ER- breast cancers in this study, we think it is unlikely that this substantially affected the major findings of our study.

One potential limitation of the case-control study is that BRCA1 and 2 genetic testing information was not available for all of the women with sporadic cancers. However, as BRCA1 and BRCA2 cancers comprise only 5 to 10% of all cancers and potential controls were excluded if a family history of breast or ovarian cancer was noted in the medical record, it seems very unlikely that more than a few of the 'control' cases had germline BRCA1 mutations. We intentionally chose controls from the general hospital population rather than from those who tested negative for BRCA mutations through the genetic testing clinic. Thus, our group of controls is more likely to represent sporadic breast cancers than those identified through a genetic testing program, many of whom may have inherited breast cancers, although not through a germline BRCA1 or 2 mutation.

### **Conclusions**

In conclusion, the results of this study indicate that BRCA1 carriers who are older at the time of invasive breast cancer diagnosis are more likely to have ER+ breast cancers than younger BRCA1 carriers. Furthermore, ER+ BRCA1 breast cancers appear to be pathologically 'intermediate' between ER- BRCA1 cancers and ER+ sporadic cancers, thus comprising a unique group. These observations raise the possibility that either some of the ER+ BRCA1 cancers are incidental (i.e. not BRCA1related), or that there is a unique mechanism by which they develop. Given the availability of new and effective therapies that exploit the defect in homologous recombination, which exists in BRCA1-related cancers such as poly (ADP-ribose) polymerase (PARP) inhibitors [26] and Cisplatin [27], it will become increasingly important to determine whether the pathways leading to ER+ BRCA1 breast cancers are similar to those that result in ER-BRCA1 cancers and whether these new therapies are likely to be effective in ER+ BRCA1 cancers. Toward this end, a detailed immunophenotypic and molecular analysis of the ER+ BRCA1 cancers is currently underway.

### **Abbreviations**

BIDMC: Beth Israel Deaconess Medical Center; ER: estrogen receptor; H & E: hematoxylin and eosin; HER: human epidermal growth factor receptor; HPF: high powered field; LOH: loss of heterozygosity; PR: progesterone receptor; RR: risk ratio; wt: wild-type; -: negative; +: positive.

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### Authors' contributions

NT wrote the manuscript with SJS, shared in study design, oversaw the collection of data and pathology material, and reviewed data. YW and JK aided in collection and review of pathology material. LC with SS reviewed all study pathology material. HL and RG performed statistical analysis. AC collected clinical data on study patients. BG, KF, and KS provided data management. KK, RL, PDR and DS identified cases and supplied pathologic material. JEG helped in study design and identification of study material. SJS shared in study design, reviewed with LC all pathology material, reviewed data and helped in writing the manuscript.

### Competing interests

The authors declare that they have no competing interests.

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